

**Westpac use only**Authority no. 

- Limited company   
  Trust   
  Sole trader   
  Family trust   
  Partnership/joint venture   
  Incorporated society/group/club  
 Non-incorporated society/group/club   
 Other (specify) \_\_\_\_\_  
 Tick if Schedule of Extra Signatories attached
- Account number   -            - ALL  
Base number



# Opening Accounts for Organisational Customers

## - Base Account Authority

**A. Company/organisation details**

This tells us the name of the accounts opened on the above Base Number.

\* This is for Resident Withholding Tax (RWT) calculation. If you don't provide a valid IRD number, we will use the non-declaration rate of 45%. If you do provide an IRD number but don't tick an RWT rate, we will use a default rate of 33%.

\*\* This is for Portfolio Investment Entity (PIE) Tax calculation. An IRD number is mandatory. If you don't tick anything, we will use the default prescribed investor rate (PIR) of 28% on your investments in one of our PIE products.

# If you tick 'Yes', you are confirming your IRD number is listed on the IRD RWT exemption register.

^ You will be a US citizen if you were born in the US or have acquired US citizenship, unless you have formally renounced your US citizenship.

~ The country listed will determine the tax rate applied to your products. Please contact your tax advisor if you are unsure.

\* If you are a US citizen or tax resident, the TIN section must be completed with your social security number or individual tax identification number.

Full name \_\_\_\_\_

Registration number (if any) \_\_\_\_\_

Trading name (if different from above) \_\_\_\_\_

Address/Registered office NUMBER &amp; STREET \_\_\_\_\_

SUBURB \_\_\_\_\_ TOWN/CITY \_\_\_\_\_

Postal address (if different from above) NUMBER &amp; STREET/BOX NUMBER \_\_\_\_\_

SUBURB \_\_\_\_\_ TOWN/CITY \_\_\_\_\_

Phone number \_\_\_\_\_ Email \_\_\_\_\_

IRD number IF KNOWN \_\_\_\_\_ Tax rate RWT\* (tick one)  10.5%  17.5%  30%  33%  39%RWT exempt?#  Yes  No**Optional for customers with Westpac PIE (Portfolio Investment Entity) investments.**Please tick the Prescribed Investor Rate (PIR) that you will be taxed at:\*\* (tick one)  0%  10.5%  17.5%  28%

**If you are a sole trader, please complete the following information. Other organisations should complete the Organisation Customer Foreign Tax Information Form.**

Are you a US citizen?^  Yes  No

Main country of tax residency~ THIS IS THE MAIN COUNTRY WHICH HAS THE RIGHT TO TAX YOUR WORLDWIDE INCOME \_\_\_\_\_

Additional country(s) of tax residency (if any) LIST THE ADDITIONAL COUNTRY(S) OF WHICH YOU ARE A TAX RESIDENT \_\_\_\_\_

Foreign Tax Identification Number(s)\* (TIN) YOUR IDENTIFICATION NUMBER FOR TAX PURPOSES IN A PARTICULAR COUNTRY \_\_\_\_\_

If you do not have a TIN, please select the reason:  TIN not issued by this country  
 Country has not issued a TIN to me (e.g. I am under age)

**Please note:** We cannot open your account until we have received your TIN, unless one of the above reasons is applicable.

What does your company/organisation do? \_\_\_\_\_

**If your organisation is:**

- a trust; or a company with nominee shareholders or shares in bearer form; or holding assets on behalf of an individual, please provide information regarding the source of the wealth of your organisation:

Tell us who the individuals are who ultimately own, or have effective control of, your organisation (beneficial owners). If you have more than 2 beneficial owners, ask for a schedule that has room for more people. A beneficial owner is an individual who:

- ultimately owns more than 25% of your organisation; or
- has effective control of your organisation (for example, someone in a senior management role or who can appoint senior management).

Full name \_\_\_\_\_

Address NUMBER &amp; STREET \_\_\_\_\_ SUBURB \_\_\_\_\_

TOWN/CITY \_\_\_\_\_ POSTCODE \_\_\_\_\_ Date of birth DD / MM / YYYY \_\_\_\_\_

Full name \_\_\_\_\_

Address NUMBER &amp; STREET \_\_\_\_\_ SUBURB \_\_\_\_\_

TOWN/CITY \_\_\_\_\_ POSTCODE \_\_\_\_\_ Date of birth DD / MM / YYYY \_\_\_\_\_

## B. Rules

This tells us how signatories can operate **all** the accounts opened on the above Base Number.

Please tick just **ONE** circle.

- any one to sign by themselves  any two to sign together  any three to sign together or  
 all signatories must sign together

**Please note:** These rules do not apply to your use of our online platforms. Those rules will need to be updated separately.

## C. Signatories

This tells us who are the account holders and who are the signatories.

If you have more than four signatories, ask for a schedule that has room for more people.

† If you are using a New Zealand Driver's Licence, please also supply the card version number. If you are using a passport, please supply the country of issue.

If you are an account holder, you are signing this section as an account holder and as a signatory.

**Signatory 1**  Mr  Mrs  Miss  Ms  Other (please specify) \_\_\_\_\_ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Relationship to customer

Phone Number HOME MOBILE

ID type ID no.† Expiry DD / MM / YYYY

ID type ID no.† Expiry DD / MM / YYYY

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different from above) NUMBER & STREET/BOX NUMBER

SUBURB TOWN/CITY

Occupation Email

Signature Date DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section E**.

We may be required to verify the identity of the people listed and other information provided in this form. Please refer to Westpac's list of acceptable verification documentation available at [westpac.co.nz/AML](http://westpac.co.nz/AML). You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

**Signatory 2**  Mr  Mrs  Miss  Ms  Other (please specify) \_\_\_\_\_ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Relationship to customer

Phone Number HOME MOBILE

ID type ID no.† Expiry DD / MM / YYYY

ID type ID no.† Expiry DD / MM / YYYY

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different from above) NUMBER & STREET/BOX NUMBER

SUBURB TOWN/CITY

Occupation Email

Signature Date DD / MM / YYYY

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**Signatory 3**  Mr  Mrs  Miss  Ms  Other (please specify) \_\_\_\_\_ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Relationship to customer

Phone Number HOME MOBILE

ID type ID no.† Expiry DD / MM / YYYY

ID type ID no.† Expiry DD / MM / YYYY

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different from above) NUMBER & STREET/BOX NUMBER

SUBURB TOWN/CITY

Occupation Email

Signature Date DD / MM / YYYY

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**Signatory 4**  Mr  Mrs  Miss  Ms  Other (please specify) \_\_\_\_\_ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Relationship to customer

Phone Number HOME MOBILE

ID type ID no.† Expiry DD / MM / YYYY

ID type ID no.† Expiry DD / MM / YYYY

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different from above) NUMBER & STREET/BOX NUMBER

SUBURB TOWN/CITY

Occupation Email

Signature Date DD / MM / YYYY

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#### D. Authority

By signing you are declaring and agreeing to the statements at Section E.

Who needs to sign? If signed by a company this document should be signed by a minimum of two directors or by the sole director. In all other cases this form must be signed by all trustees, partners, officers etc. as applicable. If the relevant person has signed at **Section C** they do not need to sign again here.

Full name Designation (eg. director, trustee)

Signature Date DD / MM / YYYY

Full name Designation (eg. director, trustee)

Signature Date DD / MM / YYYY

Full name Designation (eg. director, trustee)

Signature Date DD / MM / YYYY

E. Declaration

Guidance

When we use the term 'Account Holder Organisation' in this section, we mean the organisation who is opening the Account. Unless the context suggests otherwise, when we use the word 'you' or 'your' we mean the Account Holder Organisation.

When we use the term 'Account Holder', we mean the person (or people) who is (or are) authorised to act on behalf of the Account Holder Organisation. Normally this will be one of the following (depending on the type of the organisation):

- A director of a Company
• A partner in a Partnership
• A trustee of a Trust
• An appointed or elected officer of a Society – for example a Chairperson, Secretary or Treasurer

When we do anything under or in relation to your account, including where you ask us to do something, we'll act in a fair and reasonable way.

If, at any time, we have a 'Customer Commitment', the commitments in that 'Customer Commitment' do not apply to the terms set out in this form.

A 'Signatory' is someone who is authorised by the Account Holder to operate the accounts opened on the base number set out above. Signatories can only operate the accounts in accordance with the rule that is selected for Signatories in section B of this form.

A Signatory can access and transact on the accounts – however a Signatory can't:

- Open other accounts
• Apply for additional products (such as loan or overdraft facilities)
• Agree to changes to existing products relating to the Accounts.

A Signatory must operate the account themselves – they can't delegate their responsibility to someone else. An Account Holder can also be a Signatory.

By signing this form, each Signatory agrees to all the terms and conditions in this form that relate to Signatories (including relating to what they can and can't do).

Only an Account Holder can change the details of the Authority set out in this form – they can't be changed by a Signatory. This includes adding or removing other Signatories.

Changes to the details set out in this form can only be made by notice to us in writing.

This form can also be used to open accounts held with BT Funds Management (NZ) Limited. If you are opening an account with BT Funds Management (NZ) Limited, the terms in this form apply as if all references to Westpac, we, us or our mean BT Funds Management (NZ) Limited.

When we say 'including' in this form, it means we are providing one or more examples but we aren't limiting what could be included.

Confirmations

Each Account Holder who signs this form confirms:

- All information supplied in this form is true, correct and complete to the best of their knowledge
• They have the authority to sign this form on behalf of the Account Holder Organisation

- They are authorised to provide the personal information (including relating to the beneficial owners) included in this form

Authority

The Account Holder Organisation (and each Account Holder who signs this form) authorises the Signatories to operate the accounts in accordance with the rule that is selected in section B of this form.

Means of Communication

We may communicate with each other electronically.

We have no responsibility to confirm the validity, authenticity, or accuracy of any electronic or telephone communication or instruction that we receive relating to you.

We may act on any electronic or telephone instruction even if those instructions may be:

- Given or transmitted in error
• Fraudulent
• Altered or distorted before or during transmission or instruction

In some cases, we may not complete an electronic or telephone instruction while we make further enquiries or seek to confirm its authenticity with you.

We will not be liable to anyone if any instructions we receive are not valid, authentic or correct and we could not have reasonably detected that in the circumstances.

Agreement

By signing this form the Account Holder Organisation agrees:

- All terms set out in this form apply to the use of this account
• To comply with all terms set out in this form and the Westpac General Terms and Conditions
• We may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy
• The Authority set out in this form applies to all accounts opened at any time on the Base Number set out at the top of this form
• We may accept instructions from any one Account Holder for all account related matters – including instructions to open new accounts on the Base Number set out at the top of this form, if the rules in section B do not require the Signatories to sign together
• You'll notify us of any change in details (for example, your contact information or the address of your registered office) or changes in any other information included in this form (including those affecting account ownership and tax residency information)
• We may send you electronic and other forms of messages relating to products and services you hold with us, corporate news, requests for feedback and other information which is important for you to know.

If there's a conflict between the terms set out in this form and the terms of the Westpac General Terms and Conditions, to the extent the terms are about substantially the same thing then the terms set out in this form will apply. If it makes sense for both of the terms to apply then both will apply.

Copies of the Westpac General Terms and Conditions and the Westpac Privacy Policy are available at any of our branches or on our website.

Westpac use only

Receiving branch no. Branch staff salary no. Support centre salary no.

Date Authority no. [grid]